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Applicant : Erik R. Thoen et al.  
Serial No. : 09/542,061  
Filed : April 3, 2000  
Title : SEMICONDUCTOR ELEMENTS FOR STABILIZING LASER OUTPUT

Art Unit : 2881  
Examiner : J. Menefee  
TO EPOD MAIL ROOM

Commissioner for Patents  
Washington, D.C. 20231

SECOND AFFIDAVIT OF ERIK R. THOEN UNDER 37 C.F.R. § 1.131

I, Erik R. Thoen, declare as follows:

1. I am the same Erik Thoen who executed the Affidavit of Erik R. Thoen Under Rule 37 C.F.R. sec. 1.131, executed October 2, 2001, and submitted with the Response dated October 4, 2001 ("my First Affidavit").
2. I have read the Office Action mailed December 20, 2001, and the U.S. Patent No. 6,252,892 B1 to Jiang et al. ("the Jiang patent"), cited by the Examiner in the Office Action. The Jiang patent was filed on September 8, 1998.
3. Our pending patent application includes two independent claims directed to producing non-linear increasing loss in a passive mode-locked laser system (claims 10, 26), and one independent claim directed to producing non-linear increasing loss in either a passively or actively mode-locked laser system (claim 1).

CERTIFICATE OF MAILING BY FIRST CLASS MAIL

I hereby certify under 37 CFR §1.8(a) that this correspondence is being deposited with the United States Postal Service as first class mail with sufficient postage on the date indicated below and is addressed to the Commissioner for Patents, Washington, D.C. 20231.

June 18, 2002  
Date of Deposit

Signature

MAUREEN CHRISTIANO  
Typed or Printed Name of Person Signing Certificate

4. Before September 8, 1998, I conceived of the invention of independent claims 1, 10, and 26, and reduced an embodiment to practice.

- a) Before September 8, 1998, co-inventors and I conceived a laser system with a pump, a gain medium producing radiation at an operative wavelength, and a reflector disposed along an optical path in the laser system's cavity, where the reflector includes layers of a first semiconductor material that act as a saturable absorber, and layers of a second semiconductor material that produces nonlinearly increasing loss to stabilize the mode-locked output of the laser system.
- b) This conception was recorded in my lab notebook. In my notebook, I calculated that a Bragg mirror having a particular InGaAsP/InP structure and an AR coating, when placed along an optical path in a laser system producing radiation at an operative wavelength of 1540 nm, would produce non-linearly increasing TPA loss, in addition to saturable absorption. These pages from my lab notebook were attached as Exhibit A to my First Affidavit. (The date was redacted from the notebook pages attached as Exhibit A. I represent that this redacted date is earlier than September 8, 1998.)
- c) Shortly after performing the above calculations, I measured the reflectivity of the InP InGaAsP Bragg reflector described in subparagraph (b) above using a Kerr-lens mode-locked laser system producing radiation at 1530 nm, with 150 fs pulses. The data showed saturable absorption and nonlinear increasing loss. A graph depicting this data was attached as Exhibit B to my First Affidavit. (I have redacted the date of the experiment from Exhibit B. I represent that this redacted date is earlier than September 8, 1998.)
- d) I believe the laser system I used in the experiment described in subparagraph (c) meets all the elements of independent claim 1. The laser system in the experiment defined a cavity, and produced radiation at an operative wavelength. The system included a mode-locking element configured to mode-lock output of the laser (the Kerr-lens), and a semiconductor element (layer of InP) that produced nonlinear increasing loss at the operative wavelength sufficient to enhance stability of the mode-locked output.

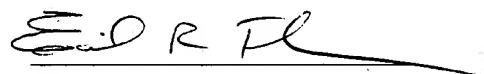
e) I also believe my performance of the experiment described in subsection (c) meets all the elements of method claim 26, for the reasons discussed above in subparagraphs (c) and (d).

f) After performing the experiment of subparagraph (c), I tested this same reflector in a laser system that was not Kerr-lens mode-locked, to demonstrate that the saturable absorption produced by the reflector in the experiment of subparagraph (c) could mode-lock the laser's output. Attached to this Affidavit as Ex. 1 are my lab notebook pages showing the results of this experiment. The first four pages of Ex. 1 are my analysis of the raw data, and the last four are graphs showing the data. As demonstrated clearly by the last two graphs, this laser system is mode-locked by the saturable absorption layers in the reflector at the same operative wavelength that produces nonlinear increasing loss. (The dates in Ex. 1 have been redacted. I represent that these dates are prior to September 8, 1998.)

g) I believe that my experiment of subparagraph (f), in view of the data from the experiment of subparagraph (c), satisfies all the elements of claim 10. The experiment of subparagraph (d) included a pump, a gain medium that produced radiation at an operative wavelength, and a reflector disposed along an optical path in the laser system's cavity. The reflector included layers of a first semiconductor material (InGaAsP) that acted as a saturable absorber at the operative wavelength to mode-lock output of the laser, and one or more layers of a second semiconductor material (InP). As demonstrated by the data shown in experiment (c), the InP produces nonlinear increasing loss at the operative wavelength sufficient to enhance the stability of the mode-locked output.

5. I affirm, under penalty of perjury, that all statements made herein are true, to the best of my knowledge, information and belief.

Dated: 6/13/02



Erik R. Thoen

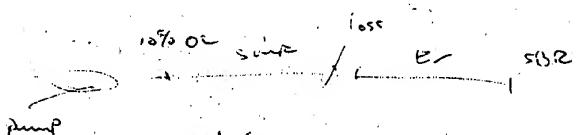
## TPA Effects

## Saturation measurements

various structures.

range of lenses very  $\Delta$ Simple modelling  
mirror alone.

## Simple cavity



pump

add loss

identical single pass gain

different cavity config.

only diff Br. &amp; loss - consequence

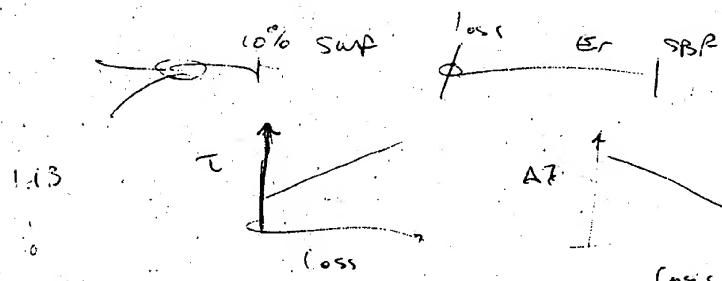
demonstrate pulsed limited  
by TPA.

AC spectrum.

disp shift vs Sat.

solito - or no sol shaping.

## Absorber Design.

Investigate multiple pulsing  
previously w/ power dependence

## Sustainable Absorber Dynamics

Varies pulse energy?

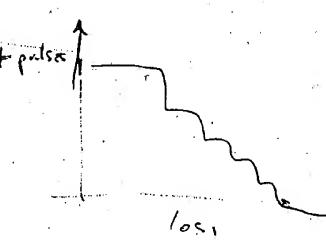
high loss easier for waveguide  
investigate requirements

vary through Q switch

Q SW modlocked

cw modlocked

Illustrate continuous control of dynamics

Modlocking rise time  
In fiber?

wave dropper?

1. May need low  
loss attenuator  
2. Is pumping the  
same as loss?

New SBR II A2

$t = 20 \text{ cm}$

I  
Pout  
100  
200  
300

The condition is 2 w

Focus was off the same  
as for the HR.

Here in the time domain I  
don't see any QSS or QSPML

On the rf spectrum analysis

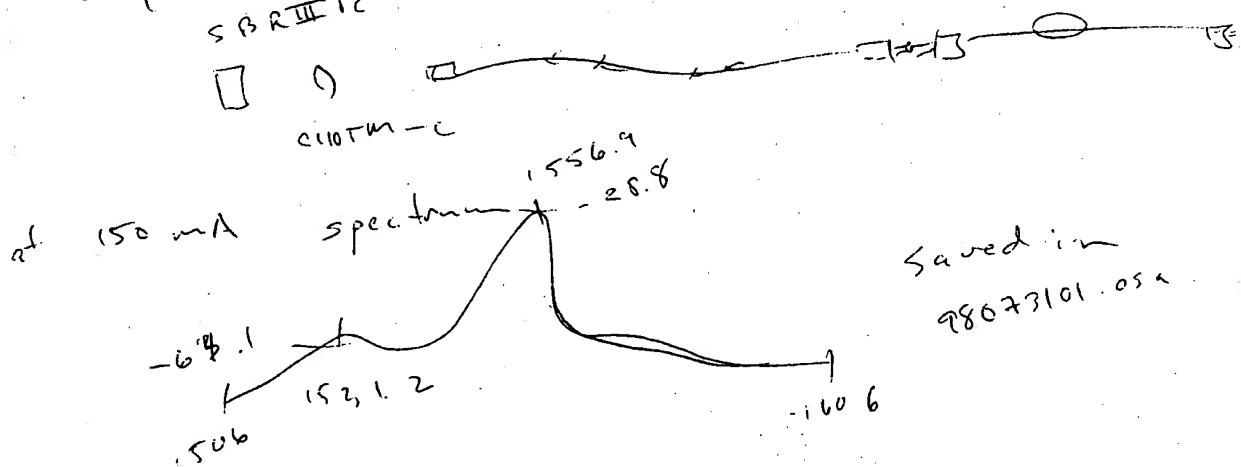


1.8 GHz

The air cavity forms a FP ~~interferometer~~ at the modes

changing to SBR III Resonant

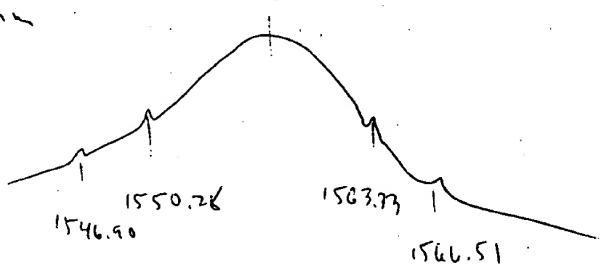
SBR III R



Blow up (30 cm span)

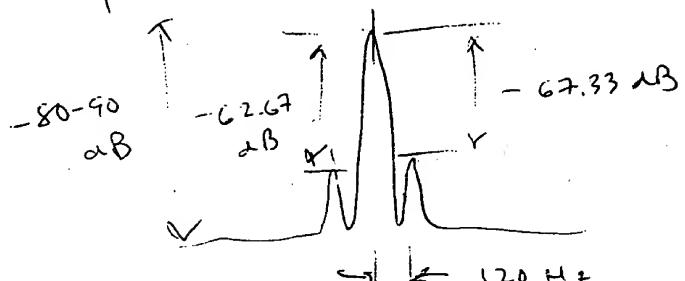
1556.84

3dB 3.37 nm

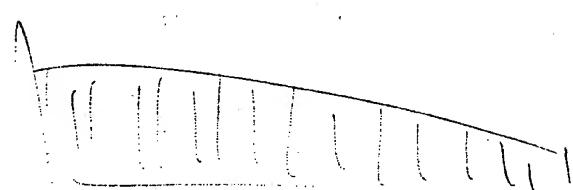
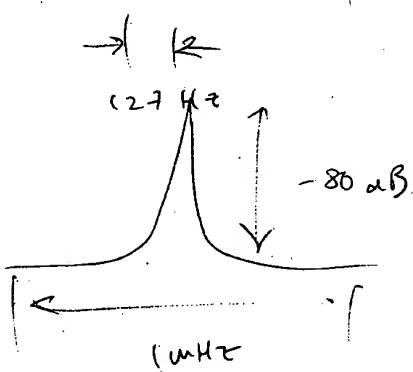


~~Redacted~~

Measuring the response at 2.34458 MHz



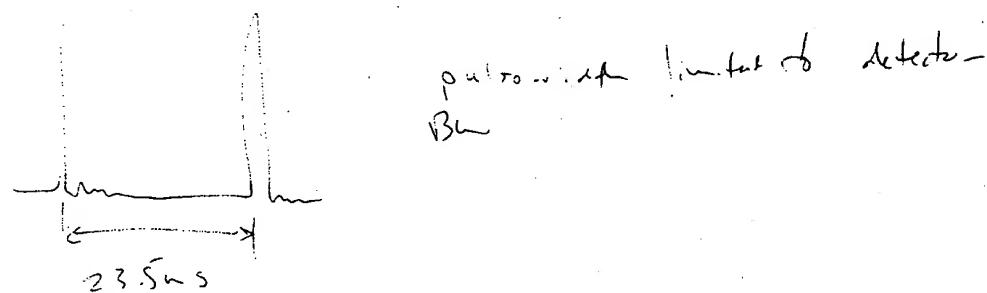
2 kHz response



2.9 GHz

Time domain:

completely flat —  
a 1 ms fine base



QS

I	T <sub>p</sub>
90	64 ns
100	55 ns
110	52 ns
120	46 ns
130	43 ns

CW no delocking

$$\frac{125.5}{125} = \frac{160}{164}$$

Very sensitive to backreflections  
measurements taken w/ FC connector covered  
in all the way.

QS

I	T <sub>p</sub>	P <sub>in</sub> - P <sub>out</sub> / T <sub>p</sub> = P <sub>out</sub>	Calculated: E ( $\frac{J}{cm^2}$ ) = 6.3309 <sup>b</sup>
170	36 ns		
180	34 ns		
220	30 ns		
250	27.5 ns	P <sub>in</sub> - P <sub>out</sub> / T <sub>p</sub> = P <sub>out</sub>	
700	25 ns	P <sub>in</sub> - P <sub>out</sub> / T <sub>p</sub> = P <sub>out</sub>	0.15
CW	I	P <sub>out</sub>	Interavity Power E ( $\frac{J}{cm^2}$ )
150 A		0.49 mW	73.91
140		0.43	64.85
130		0.38	57.46
100		0.55	82.97

↑  
all seem to  
make sense

Calculating the pulsewidth assuming transform limited pulses.

$$\Delta t \propto V = 0.3148 \text{ sec}$$

$$\Delta V = \frac{C}{2} \quad \frac{\partial V}{\partial t} = \frac{C}{2} \quad \Delta V = \frac{C}{2} \Delta t$$

$$\Rightarrow \Delta t = \frac{0.3148 \cdot 2^2}{CAZ}$$

$$\Delta t = \frac{0.3148 (1556.84 \times 10^{-9} \text{ m})^2}{(3 \times 10^8 \text{ m/s})(3.37 \times 10^{-9} \text{ m})}$$

$$\Delta t = 754.7 \text{ fs}$$

So at least it should be sub-picosecond.

Now let's try to obtain the other cavity parameters.

Estimate spot size at output  $1.1 \times 10^{-3} \text{ m} = d$

Gaussian focused spot diameter  $f = 6.24 \text{ mm} = 6.24 \times 10^{-3} \text{ m}$

$$d = \frac{4\pi f}{\pi d_0} = \frac{4(1556.84 \times 10^{-9} \text{ m})(6.24 \times 10^{-3} \text{ m})}{\pi (1.1 \times 10^{-3} \text{ m})}$$

$$d = 1.1245 \times 10^{-5} \text{ m} = 11.25 \text{ microns} \leftarrow \begin{array}{l} \text{very close} \\ \text{to the full} \\ \text{modefield diameter} \end{array}$$

Now the energy density

$$E = \frac{P_{av}}{f} \frac{1}{T(\frac{1}{2})^2} = \frac{P_{av}(\text{mW})}{(42.234 \times 10^{-6} \text{ J}) \pi \left( \frac{1.1245 \times 10^{-5} \text{ m}}{2 \left( \frac{\text{m}}{100 \text{ cm}} \right)} \right)^2}$$

$$= P_{av}(\text{mW}) 2.3843 \times 10^5 \frac{\text{mJ}}{\text{cm}^2}$$

$$= P_{av}(\text{mW}) 23.843 \left( \frac{\text{mJ}}{\text{cm}^2} \right)$$

Calculating on the previous page

$$P_{e,4} = \frac{P_e}{T_{14c}} \left( \frac{P_e}{T_{14c}} - 1 \right)$$

$$= (0.177)(0.892) \left( \frac{P_e}{0.9502} \right) \left( \frac{P_e}{0.3765} - 1 \right)$$

Coated End - SBR III-Res

$\gamma = -0.30805 + 0.02238 X$

C110TM ( $t=6.24\text{mm}$ ), 17% OC

CW-Modelocking

